

**Project Title:****Turning frustration into electrochemical CO<sub>2</sub> activation****Name:** ○Yuanqing Wang (1)**Laboratory at RIKEN:****(1) RIKEN Center for Sustainable Resource Science, Biofunctional Catalyst Research Team**

## 1. Background

Since Rosen et al. in 2011 found that imidazolium ionic liquid significantly increases the activity and selectivity of the electrochemical reduction of CO<sub>2</sub> to CO, the catalytic role of imidazolium ionic liquid in CO<sub>2</sub> reduction has been intensively investigated. To date, however, the molecular mechanism behind this activity and selectivity remains to be elucidated. In our previous calculation paper, which also used the calculation resource of HOKUSAI, the reduction potential of the 1-ethyl-3-methylimidazolium (EMIM<sup>+</sup>) cation was estimated to be -2.4 V assuming a homogeneous reaction in the absence of electrode material. And it was proposed to be the key step of controlling CO<sub>2</sub> activation. To bridge the gap between experiments (-0.8 V) and calculation (-2.4 V), further theoretical calculations of the reduction potential of the EMIM<sup>+</sup> cation within the framework of an electrode effect is desired to examine the formation of EMIM radical.

## 2. Methods

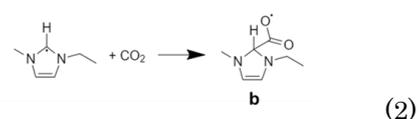
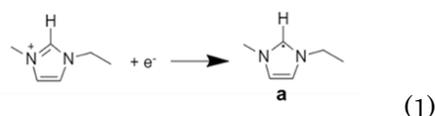
To simulate the presence of the copper electrode, the Cu(111) surface was modeled by a periodically repeating 3×3 supercell in a three-layer slab determined with plane-wave-based DFT calculations. Copper atoms in the second and third layers were fixed, whereas copper atoms in the first layer were allowed to relax. The calculations were carried out using Perdew-Burke-Ernzerhof (PBE) functional and ultrasoft pseudopotentials as implemented in Quantum Espresso code. The plane wave kinetic energy cut off values of 40 and 480 Ry were adopted for the wave functions and charge densities, respectively. The Monkhorst-Pack type of k-point sampling with an 8×8×1 choice was selected for the slab calculations. The Brillouin zone was integrated

using the Methfessel-Paxton smearing method. Finally, Grimme D2 dispersion and dipole corrections (in the presence of Cu(111) and imidazolium species) were applied.

The cluster model of imidazolium species and 18 or 4 copper atoms was calculated using PBE functional as implemented in Gaussian 09. LANL2DZ was adopted for copper atoms and 6-311++G(d,p) for the other atoms. The SMD solvation model was adopted to account for the solvation effect on the cluster model. The D3 version of Grimme's dispersion with Becke-Johnson damping was used to account for dispersion. Raman spectra of EMIM<sup>+</sup> cations and EMIM radicals without copper atoms included were calculated using B3LYP functional and 6-311++G(d,p) basis set in the PCM solvation model as implemented in Gaussian 09. A scaling factor of 0.967 for frequency correction was adopted.

## 3. Results

To understand the potential dependent Raman spectra associated with EMIM<sup>+</sup> cations, six possible species previously proposed as in-situ generated EMIM<sup>+</sup> cations derivatives during electrochemical CO<sub>2</sub> reduction were calculated as shown in equations below.



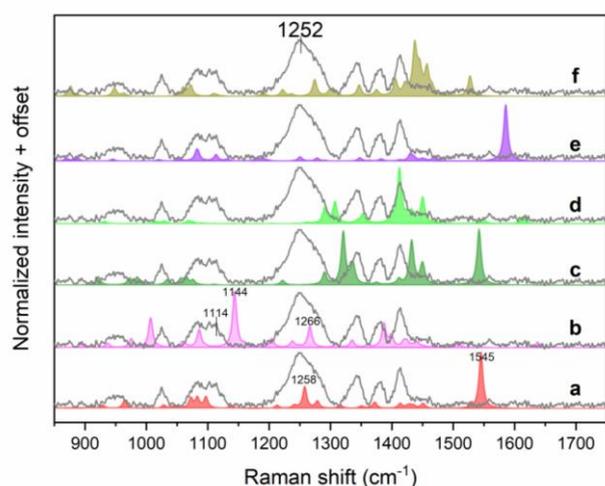


Figure 1. Calculated Raman spectra of possible species **a**, **b**, **c**, **d**, **e** and **f** as shown from Eq. (1) to (6). Grey curves represent experimental Raman spectrum recorded at  $-1.0$  V.

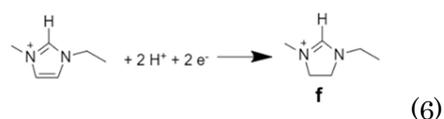
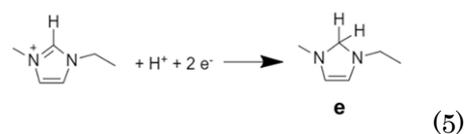
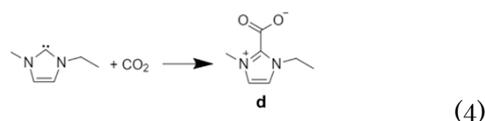
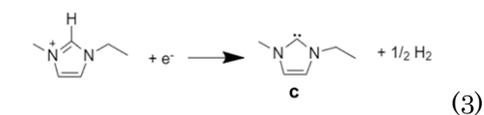
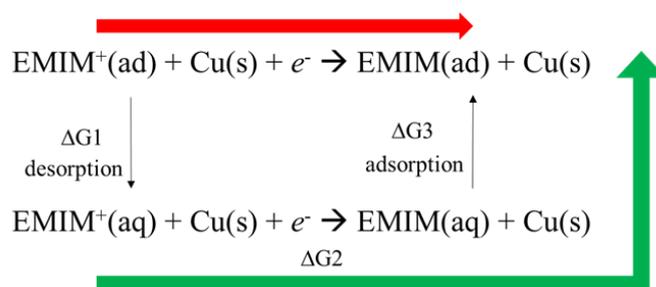


Fig. 1 shows the comparison of experimentally observed Raman spectrum at  $-1.0$  V with calculated ones of these species. It can be seen that the main features of intense bands appearing at around  $1252$   $\text{cm}^{-1}$  together with disappearance of the bands at around  $1450$   $\text{cm}^{-1}$  at lower potential than  $-0.8$  V can be well represented by the calculated Raman spectrum of EMIM radical (species **a**) and its derivative **b**. However, there is a very strong band ( $1545$   $\text{cm}^{-1}$ ) from calculated Raman spectrum of species **a** which was missing in the experimental one. Thus species **b** contributed to the main feature in the observed Raman spectra at around  $1252$   $\text{cm}^{-1}$  which is assigned as O-C-O bending vibration coupled with C2-H bending.

As direct calculation of the reduction potential is challenging, here, we adopted the strategy used by Ertem et al. to set up a thermodynamic cycle (Scheme 1). The free energy change from adsorbed EMIM<sup>+</sup> cation to adsorbed EMIM radical (denoted as  $\Delta G$ ) can be separated into three terms: (i) desorption of adsorbed EMIM<sup>+</sup> cation on the Cu(111) surface ( $\Delta G_1$ ); (ii) reduction of aqueous EMIM<sup>+</sup> cation to aqueous EMIM radical ( $\Delta G_2$ ); and (iii) adsorption of aqueous EMIM radical on the Cu(111) surface ( $\Delta G_3$ ).  $\Delta G_2$  was previously estimated to be  $-43.6$  kcal/mol (corresponds to  $-2.4$  V) based on cluster DFT calculations.



Scheme 1. Thermodynamic cycle used for calculation of the standard reduction potential of the EMIM<sup>+</sup> cation.

$\Delta G_1$  and  $\Delta G_3$  can be approximated as the sum of the three terms:

$$\Delta G = \Delta E + \Delta G_{\text{corr}} + \Delta G_{\text{solv}} \quad (7)$$

where  $\Delta E$  is the difference of electronic energies of the optimized structures obtained via plane-wave-based DFT calculations;  $\Delta G_{\text{corr}}$  is the difference of the thermal correction to free energy calculated by cluster DFT calculations employing the standard ideal-gas, rigid-rotator, harmonic oscillator approximation;  $\Delta G_{\text{solv}}$  is the solvation energy difference within the SMD model via cluster DFT calculations. Especially, EMIM-BF<sub>4</sub> (with counter anion) was used in calculating desorption free energy of adsorbed EMIM<sup>+</sup> cation to make the system neutral. Fig. 2 shows the geometric structures of adsorbed EMIM radical and EMIM<sup>+</sup> cation on the Cu(111) surface. Using Eq. 7, desorption free energy  $\Delta G_1$  and adsorption free energy  $\Delta G_3$  were calculated to be  $59.7$  and  $-39.6$  kcal/mol, respectively. Therefore, the corrected reduction potential from adsorbed

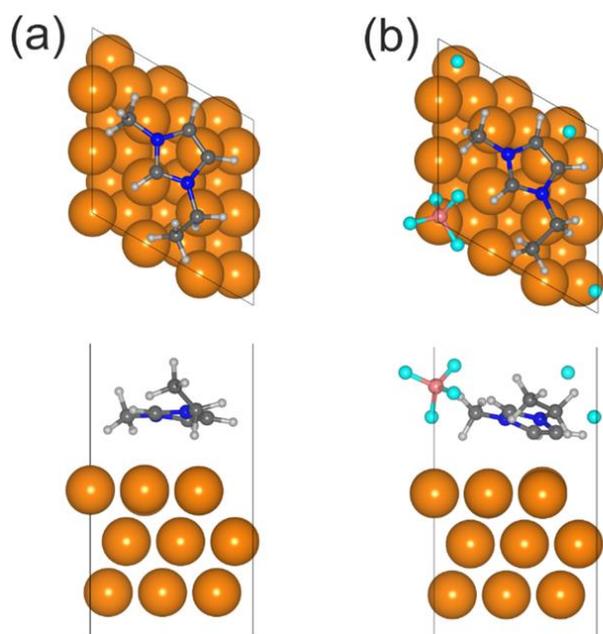


Figure 2. Top view (upper) and side view (bottom) of adsorbed EMIM radical (a) and adsorbed EMIM<sup>+</sup> cation (b) on the Cu(111) surface. Orange spheres represent Cu atoms and C, N, H, B and F atoms are shown by grey, blue, light grey, pink and turquoise colors, respectively.

EMIM<sup>+</sup> cation to EMIM radical (denoted in red curve in Scheme 1) was estimated to be  $-3.3$  V, a value that is more negative than  $-2.4$  V. However, if we consider the reduction potential from aqueous EMIM<sup>+</sup> cation to adsorbed EMIM radical (denoted in green curve in Scheme 1), the value was shifted to  $-0.7$  V, which is in good agreement with the obtained experimental value of  $\sim -0.8$  V. This result indicated that the reduction of aqueous EMIM<sup>+</sup> cation to adsorbed EMIM radical is energetically feasible under onset potential of CO<sub>2</sub> reduction.

#### 4. Conclusion

DFT calculation showed that the reduction from aqueous EMIM<sup>+</sup> cation to adsorbed EMIM radical on Cu(111) occurs at  $-0.7$  V. The coincidence of EMIM<sup>+</sup> cations reduction potential ( $-0.7$  V determined by DFT calculation) and onset of CO<sub>2</sub> reduction ( $-0.89$  V determined by cyclic voltammetry and  $\sim -0.8$  V by on-line differential electrochemical mass spectrometry) strongly suggests that a reduced EMIM<sup>+</sup> cation species initiates CO<sub>2</sub> reduction.

5. Schedule and prospect for the future  
None.

6. If no job was executed, specify the reason.  
HOKUSAI calculation source was mainly used to analyze the previous calculation results obtained from HOKUSAI calculation system.

Usage Report for Fiscal Year 2019

**Fiscal Year 2019 List of Publications Resulting from the Use of the supercomputer**

**[Paper accepted by a journal]**

[1] Wang, Yuanqing, Toru Hayashi, Daoping He, Yamei Li, Fangming Jin, and Ryuhei Nakamura. A reduced imidazolium cation layer serves as the active site for electrochemical carbon dioxide reduction. *Applied Catalysis B: Environmental* 264 (2020): 118495.

**[Conference Proceedings]**

**[Oral presentation]**

**[Poster presentation]**

**[Others (Book, Press release, etc.)]**